

# Cellular automata designed for simulation of films growth

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# 1 Introduction [1]

MBE = growth of an oriented single-crystal film of one material upon a single-crystal substrate of another when the main microscopic process is particles deposition followed by their diffusion on the surface — may be grouped to continuum and discrete approaches

## 2 Cellular automata (CA) [2]

- large lattice of sites
- each site carries an discrete information
- a state of site at time  $t + 1$  depends on their own and their neighbours states at time  $t$

**CA = network + set of site's states + rule of game**

### 3 Surface characteristics

- film height  $h(\vec{r}, t)$  with  $\theta$  on average
- height-height correlation function

$$G(\vec{s}) \equiv \langle h(\vec{r} + \vec{s})h(\vec{r}) \rangle - \langle h(\vec{s}) \rangle^2$$

- film roughness, i.e. surface width

$$\sigma \equiv \sqrt{G(\vec{0})}$$

- surface anisotropy

$$\varepsilon \equiv \frac{G(\hat{x}) - G(\hat{y})}{G(\vec{0})}, \quad \varepsilon_1 \equiv \frac{\phi_x - \phi_y}{\phi_x + \phi_y},$$

$$\varepsilon_2 \equiv \phi_x / \phi_y, \quad \varepsilon_3 \equiv \ell / A,$$

where  $\phi_x$  and  $\phi_y$  are  $x$ - and  $y$ -side of the minimal rectangle which totally covers whole cluster,  $\ell$  is the cluster perimeter and  $A$  is the cluster area.

- surface selfaffinity = surface shape and statistical properties are invariant when simultaneously

$$r \rightarrow \lambda r$$

and

$$h(r) \rightarrow \lambda^H h(r)$$

- dynamic Family–Vicsek scaling law [5]:

$$\sigma \propto L^\alpha f(\theta/L^\gamma)$$

with

$$f(x) = \begin{cases} x^\beta & \text{for } x \ll 1, \\ 1 & \text{for } x \gg 1, \end{cases}$$

where  $L$  is linear size of substrate,  $\alpha$ ,  $\beta$ ,  $\gamma$  are roughness, growth and dynamic

exponent, respectively

$$\xi \propto L^{1/\gamma} \text{ and } \gamma = \alpha/\beta$$

- correlation length  $\xi$
- before reaching  $\theta_\infty \propto L^\gamma$  roughness grows like  $\theta^\beta$  and then saturates on  $\sigma_\infty \propto L^\alpha$ .

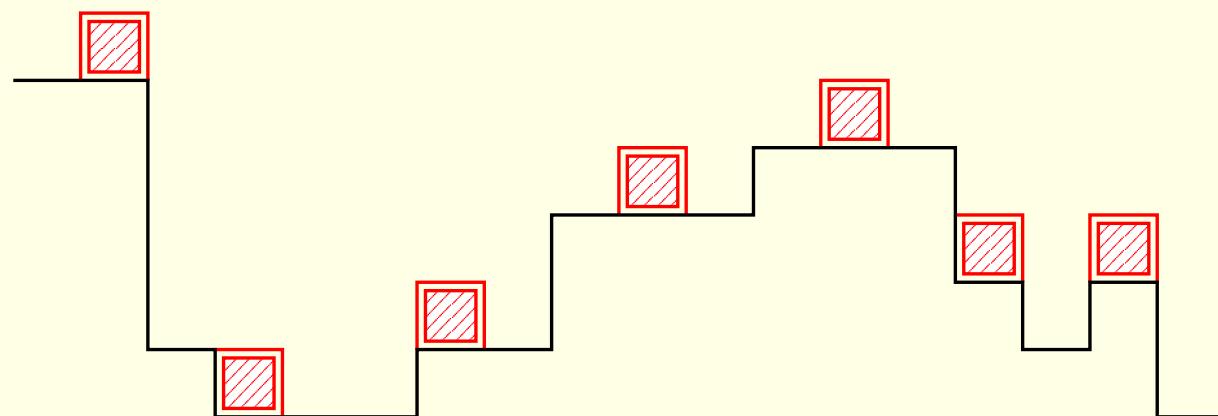
## 4 Deterministic SOS models

solid-on-solid approximation (SOS): no overhangs or voids and surface may be fully characterised by a single-valued function  $h(x, t)$

## 4.1 Random deposition model (RDM)

$T \rightarrow 0$ , no diffusion

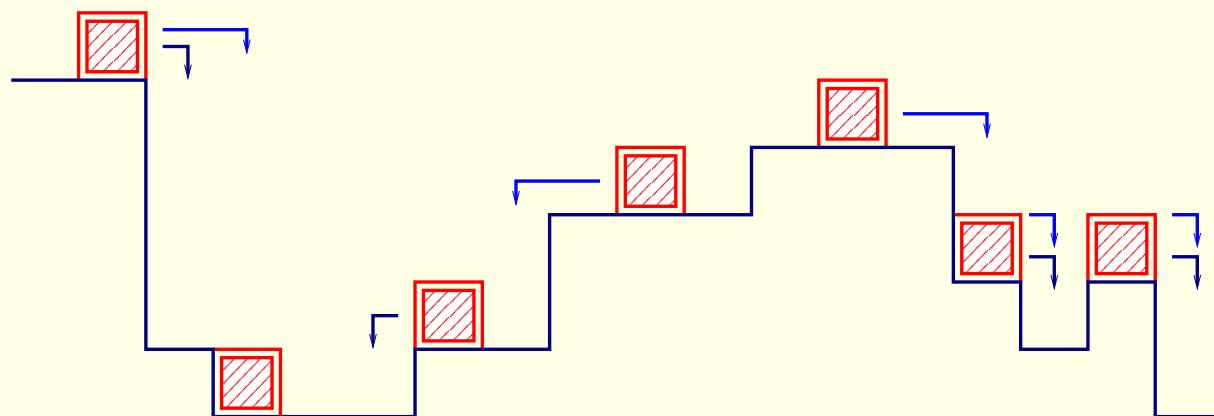
$$P(h; \theta) = \frac{\theta^h}{h!} \exp(-\theta); \quad \beta = 1/2; \quad \alpha = \infty$$



## 4.2 Family model [6]

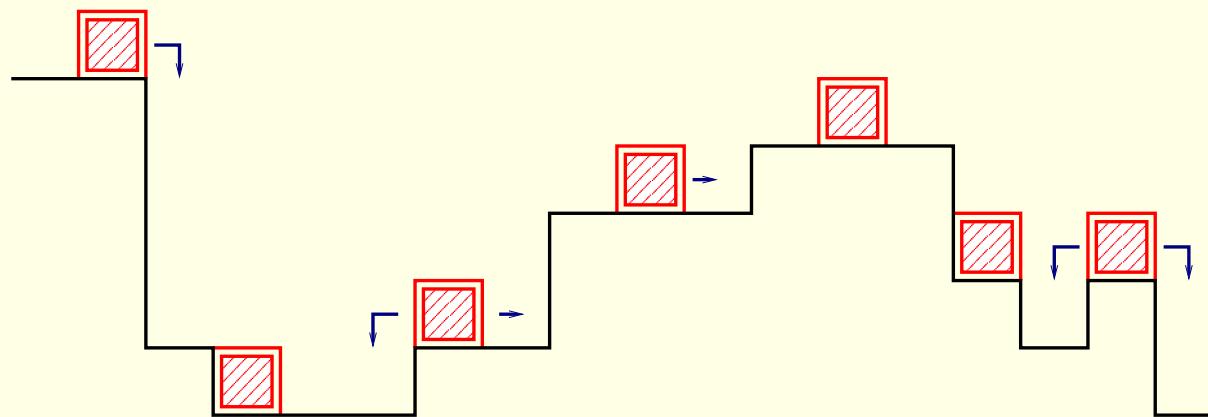
RDM + surface diffusion to site with minimal height

$$h_{\min} = \min\{h(r - R, t), \dots, h(r + R, t)\}$$



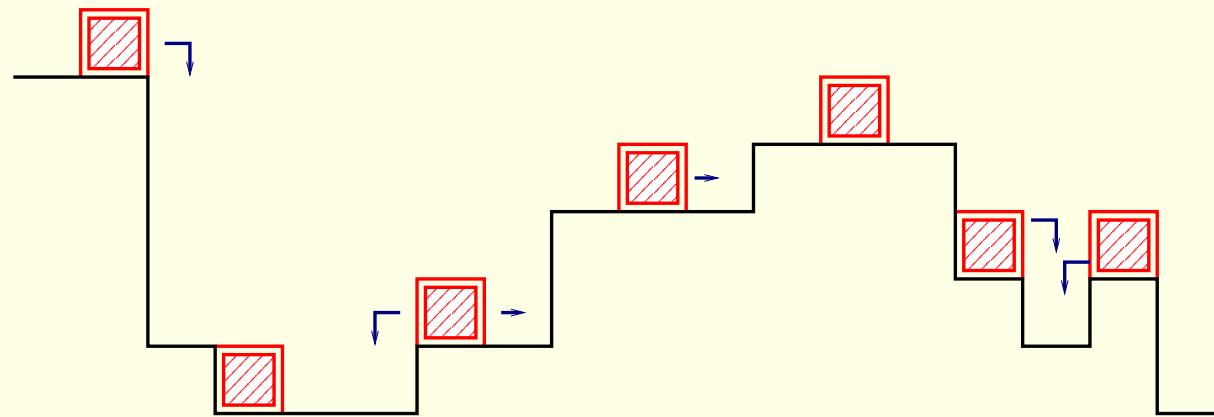
## 4.3 Das Sarma–Tamborenea model [7]

RDM + surface diffusion to a kink site



## 4.4 Wolf–Villain model [8]

RDM + surface diffusion to site with maximal  $z$



## 5 Probabilistic SOS models

before Arrhenius-like energy-activated full-reversible-diffusion kinetics model governed by diffusion constant  $D = D_0 \exp(-E_a/k_B T)$  one may wish use probabilistic CA

CA rule involves tossing the coin

### 5.1 Adding substrate temperature

- binding energy at place of deposition and NN:

$$E_{i,j} = n_x^{i,j} J_x + n_y^{i,j} J_y + n_x^{i,j-1} S_x + n_y^{i,j-1} S_y.$$

- diffusion to one of NN with probability

$$P_i \propto \exp(-E_i/k_B T)$$

reduced by

$$\exp(V_x/k_B T) \text{ or } \exp(V_y/k_B T),$$

where  $V$  is diffusion barrier

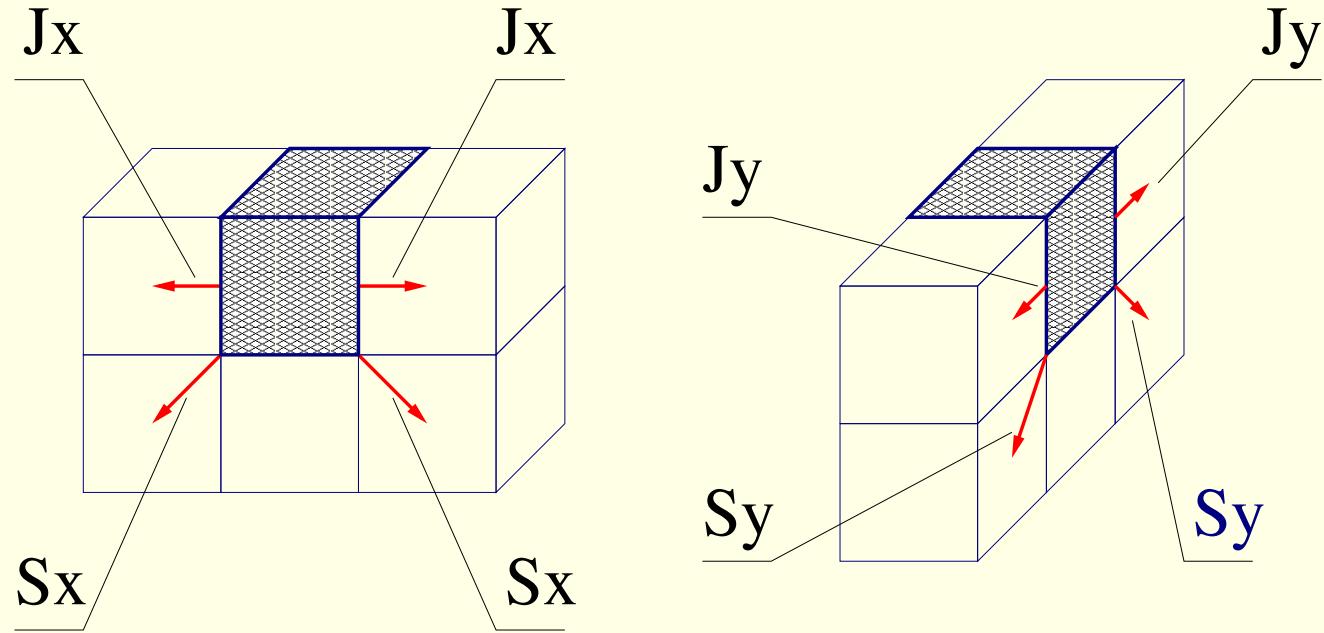


Figure 1: Model parameters  $S$  and  $J$ .

## 5.2 Toward Arrhenius-like kinetics [12]

- We start our simulation with perfectly flat substrate.
- Every  $\tau L^2$  time steps new jet of  $\theta_{\text{dep}} L^2$  particles arrives.
- Each time step — between subsequent acts of the depositions — particles ‘sitting’ on the column top may diffuse on the surface.

- The only mobile particles are those which currently have less than  $z_x$  and  $z_y$  created particle-particle lateral bonds (PPLB) in  $x$ - and  $y$ -direction, respectively.
- For isotropic case only one number  $z$  guards the particles mobility.
- Active particles and their movement directions are picked up randomly.

- The particles are not allowed to climb on higher levels, but they are able to jump down at the terrace edge.
- The simulation is carried out until a desired film thickness  $\theta_{\max}$  has been deposited.

# 6 Results

Here we show some results presented in Refs.

[9, 10, 11, 12]

## 6.1 Submonolayer growth [11]

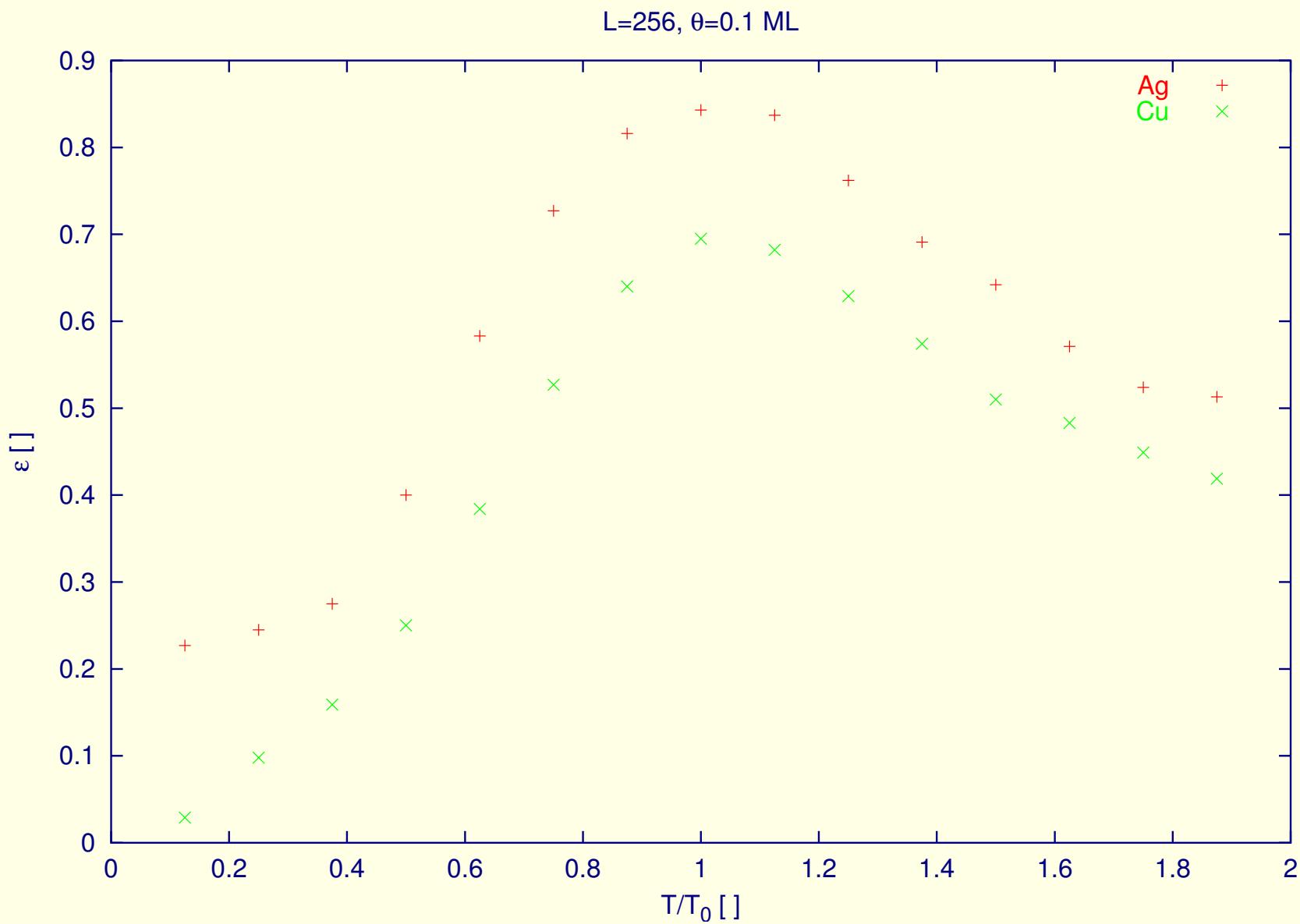
- $\theta = 0.1$  [ML]
- anisotropy in  $E$  and  $V$ :

Ag:  $V_x/V_y = 0.736$ ,  $E_x/E_y = 9.000$

Cu:  $V_x/V_y = 0.793$ ,  $E_x/E_y = 6.857$

# Influence of the substrate temperature on surface morphology:

- randomly deposited monomers
- long 1D chains
- larger 2D but still anisotropic clusters
- and again randomly deposited small atomic island



## 6.2 Surface roughness [9, 12]

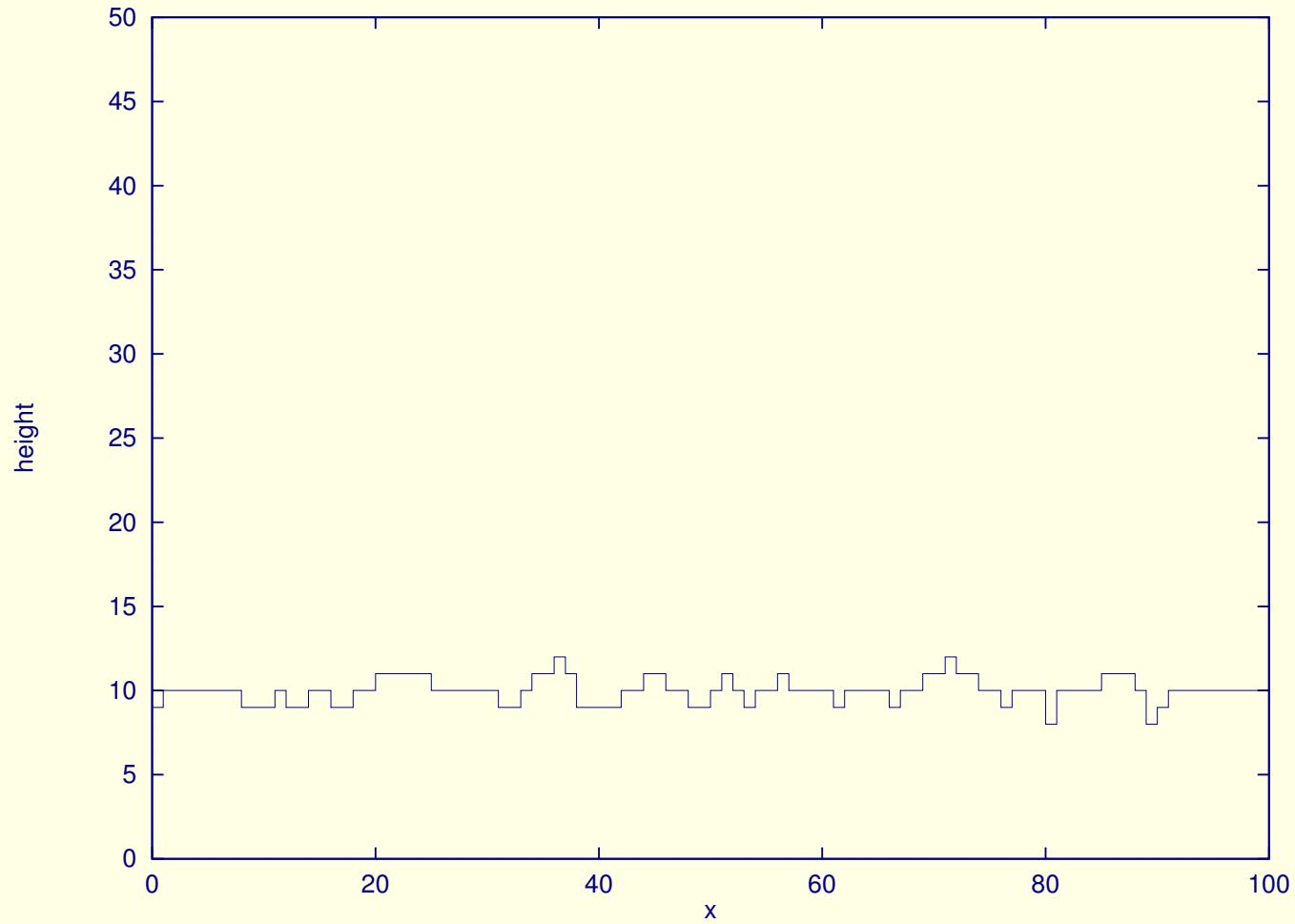
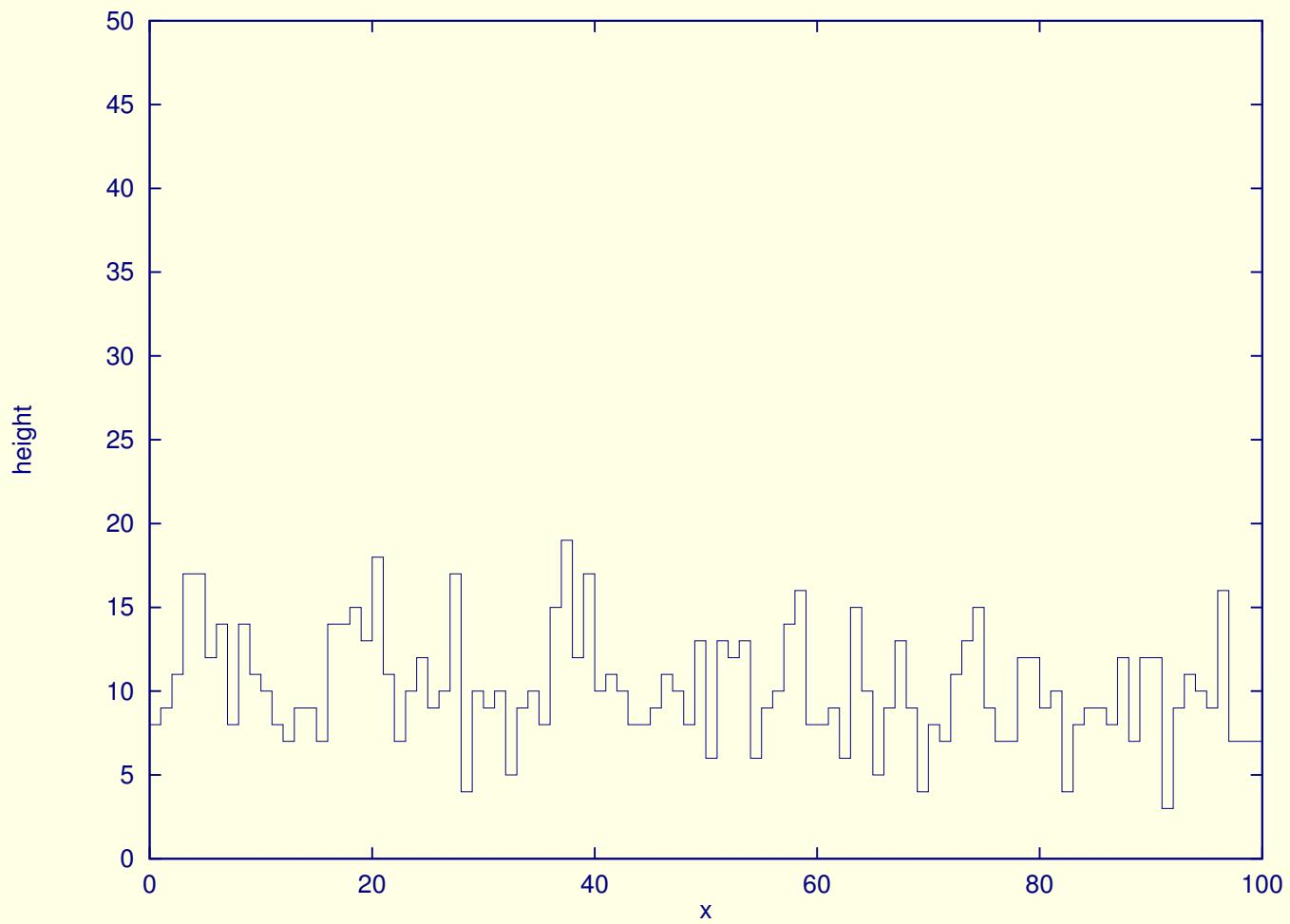


Figure 2:  $J \rightarrow -\infty, V = 0, \langle h \rangle = 10$  [ML]



**Figure 3:**  $J = 0, V \rightarrow \infty, \langle h \rangle = 10$  [ML]

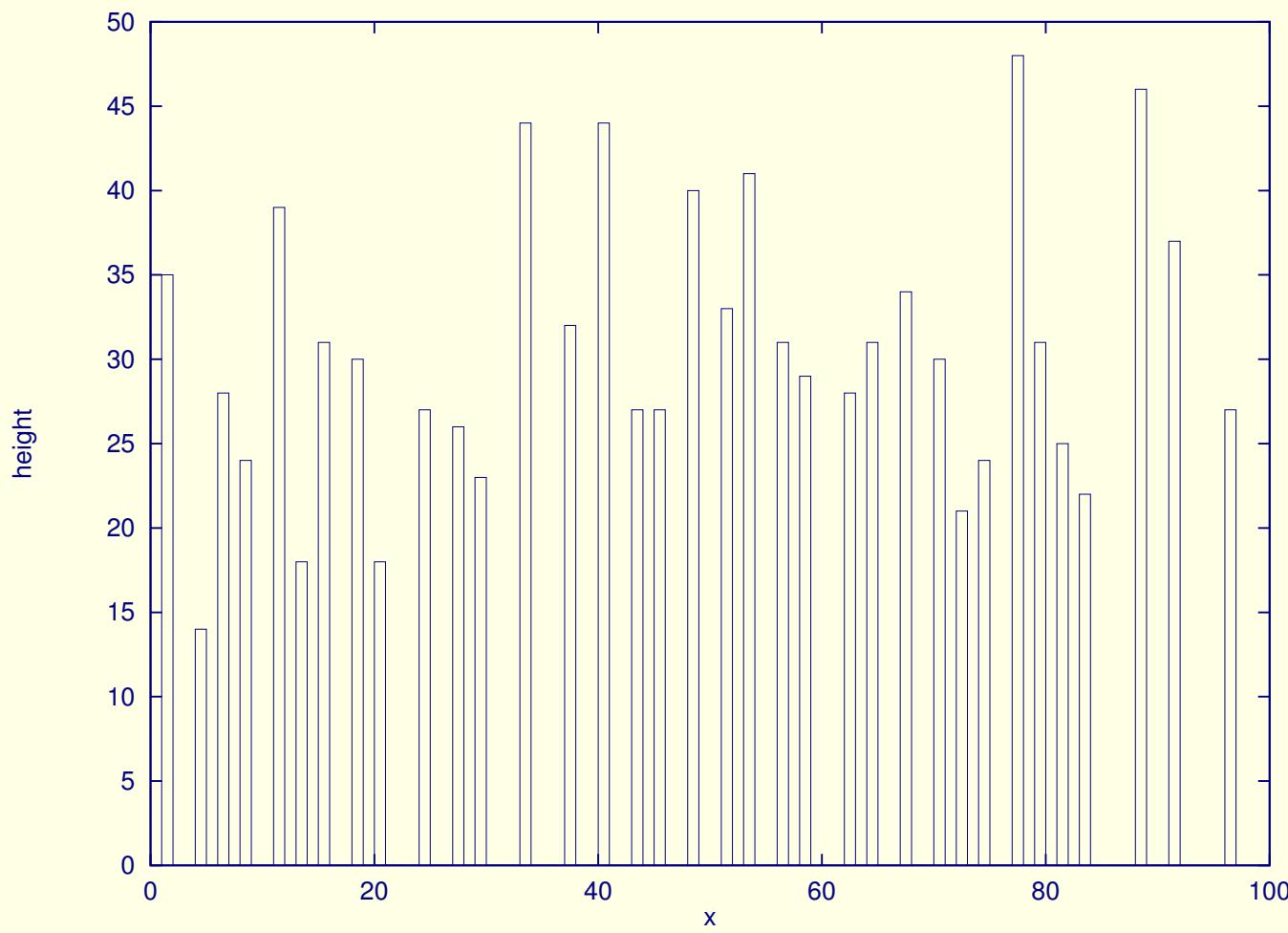


Figure 4:  $J > 0, V = 0, \langle h \rangle = 10$  [ML]

$J \rightarrow -\infty$  and  $V = 0 \rightarrow \alpha \approx 0.78$  and  $\beta \approx 0.22$

Table 1:  $\theta_{\text{dep}} = 0.1$  [ML],  $\tau = 1$

$z$	1	2	3	4
$\alpha$	0.863	0.215	0.1005	0.0718
$\beta$	0.357	0.123	0.0405	0.0228

(a)  $L=1000$ ,  $z=1$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\theta_{\text{max}}=10$  [ML]

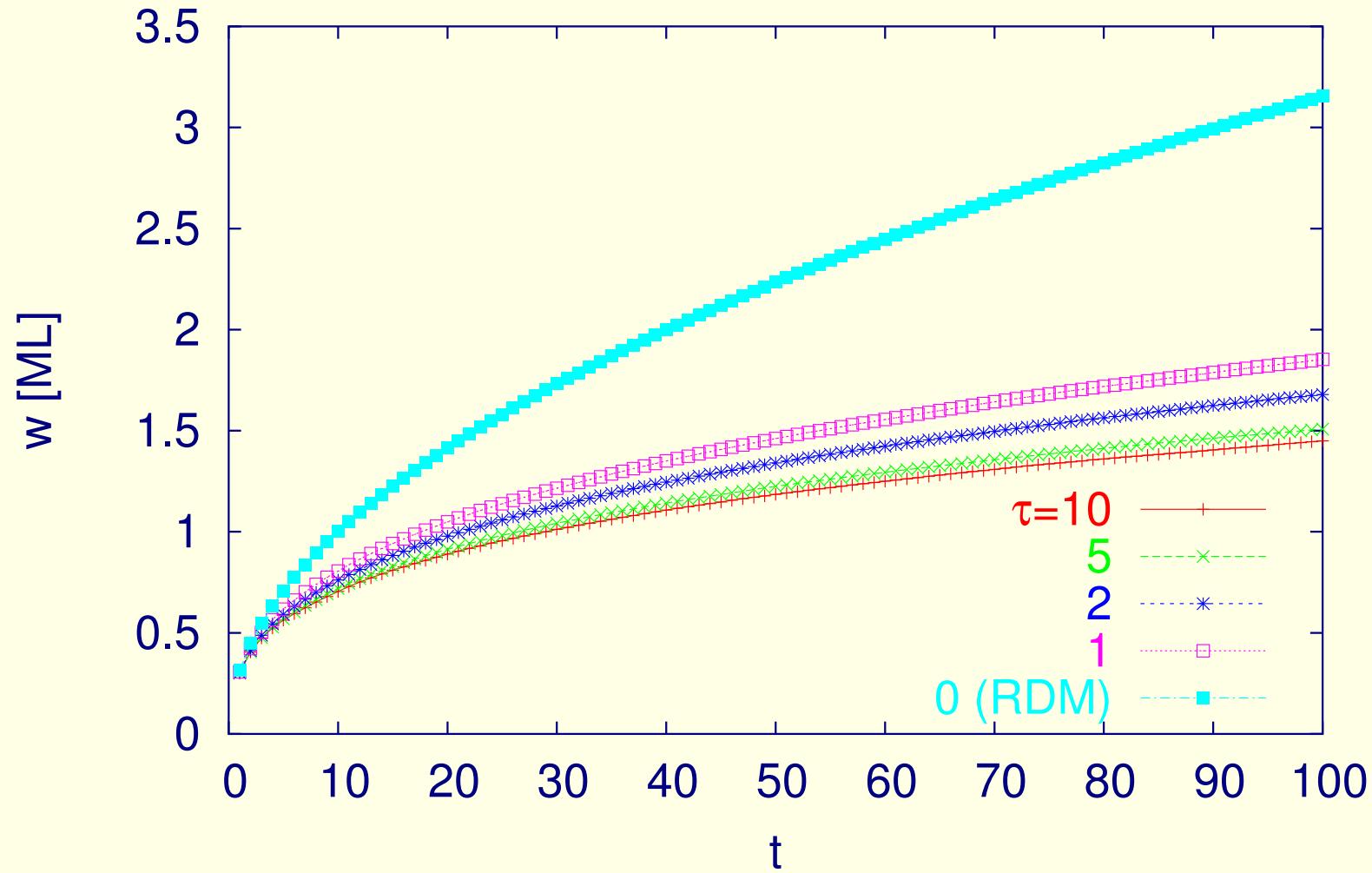


Figure 5:  $z = 1$ ,  $L = 10^3$ ,  $\theta_{\text{dep}} = 0.1$  [ML]

(b)  $L=1000$ ,  $z=2$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\theta_{\text{max}}=10$  [ML]

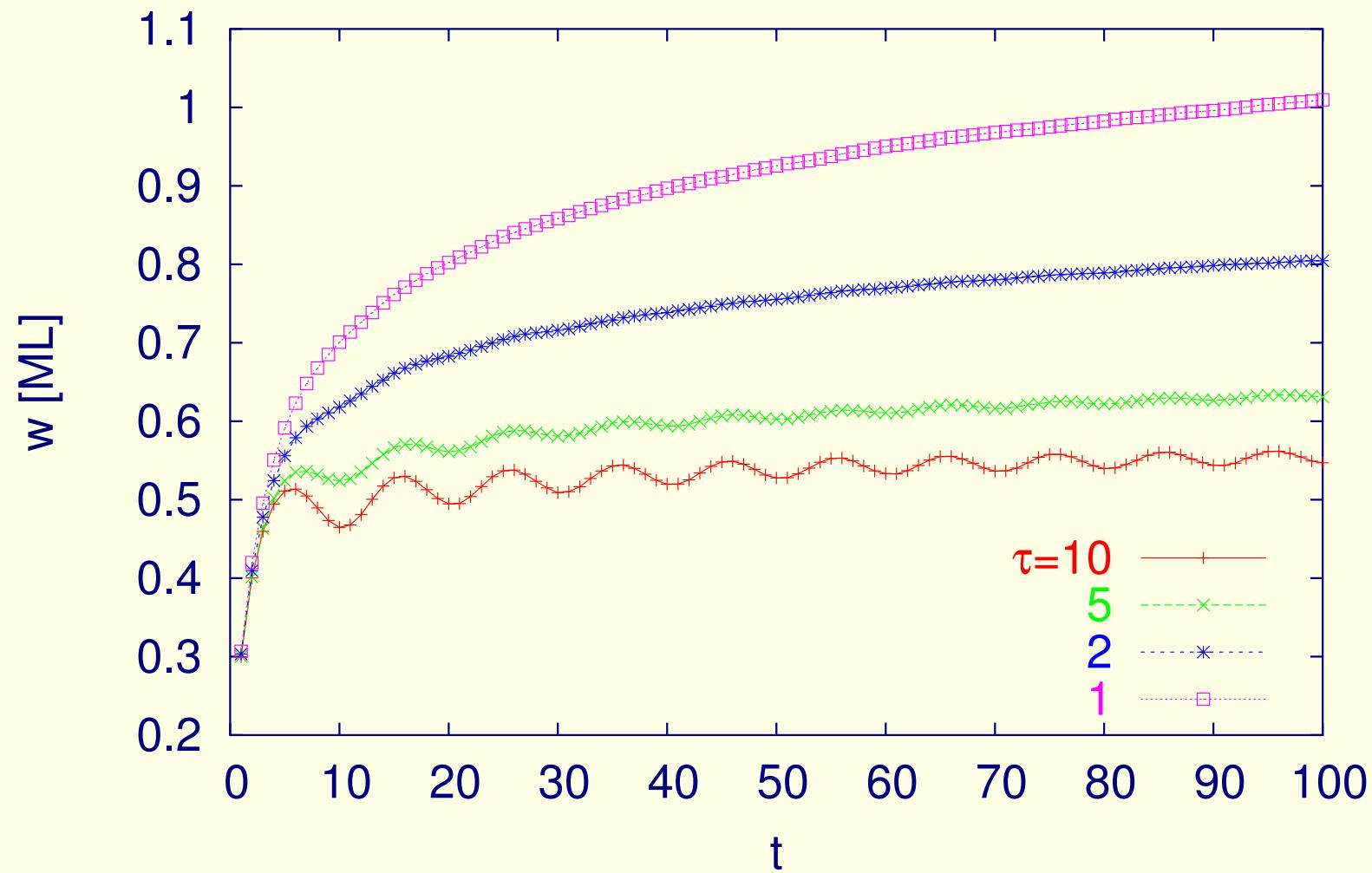


Figure 6:  $z = 2$ ,  $L = 10^3$ ,  $\theta_{\text{dep}} = 0.1$  [ML]

(c)  $L=1000$ ,  $z=3$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\theta_{\text{max}}=10$  [ML]

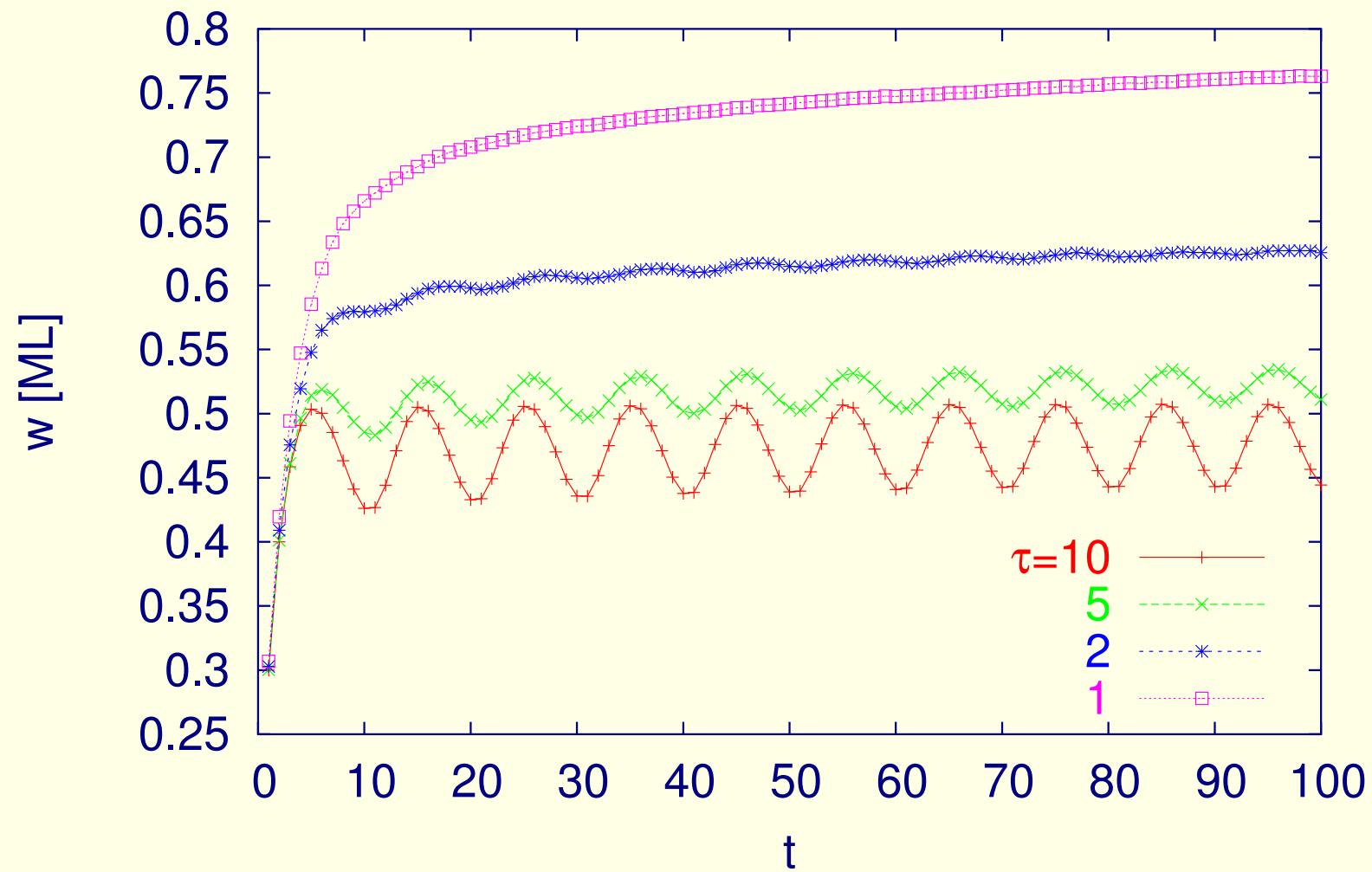


Figure 7:  $z = 3$ ,  $L = 10^3$ ,  $\theta_{\text{dep}} = 0.1$  [ML]

(d)  $L=1000$ ,  $z=4$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\theta_{\text{max}}=10$  [ML]

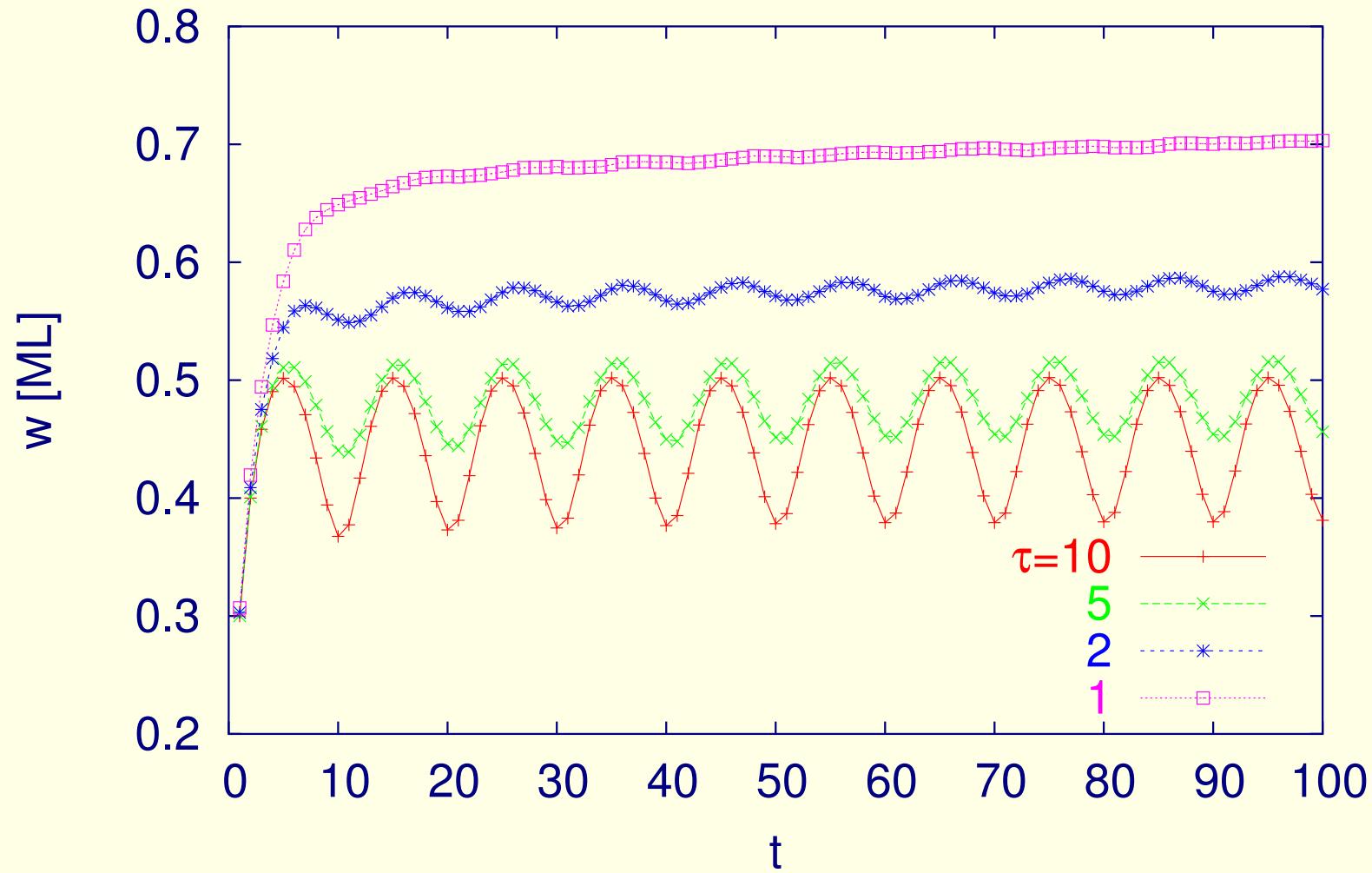


Figure 8:  $z = 4$ ,  $L = 10^3$ ,  $\theta_{\text{dep}} = 0.1$  [ML]

(a)  $z=1$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\tau=1$

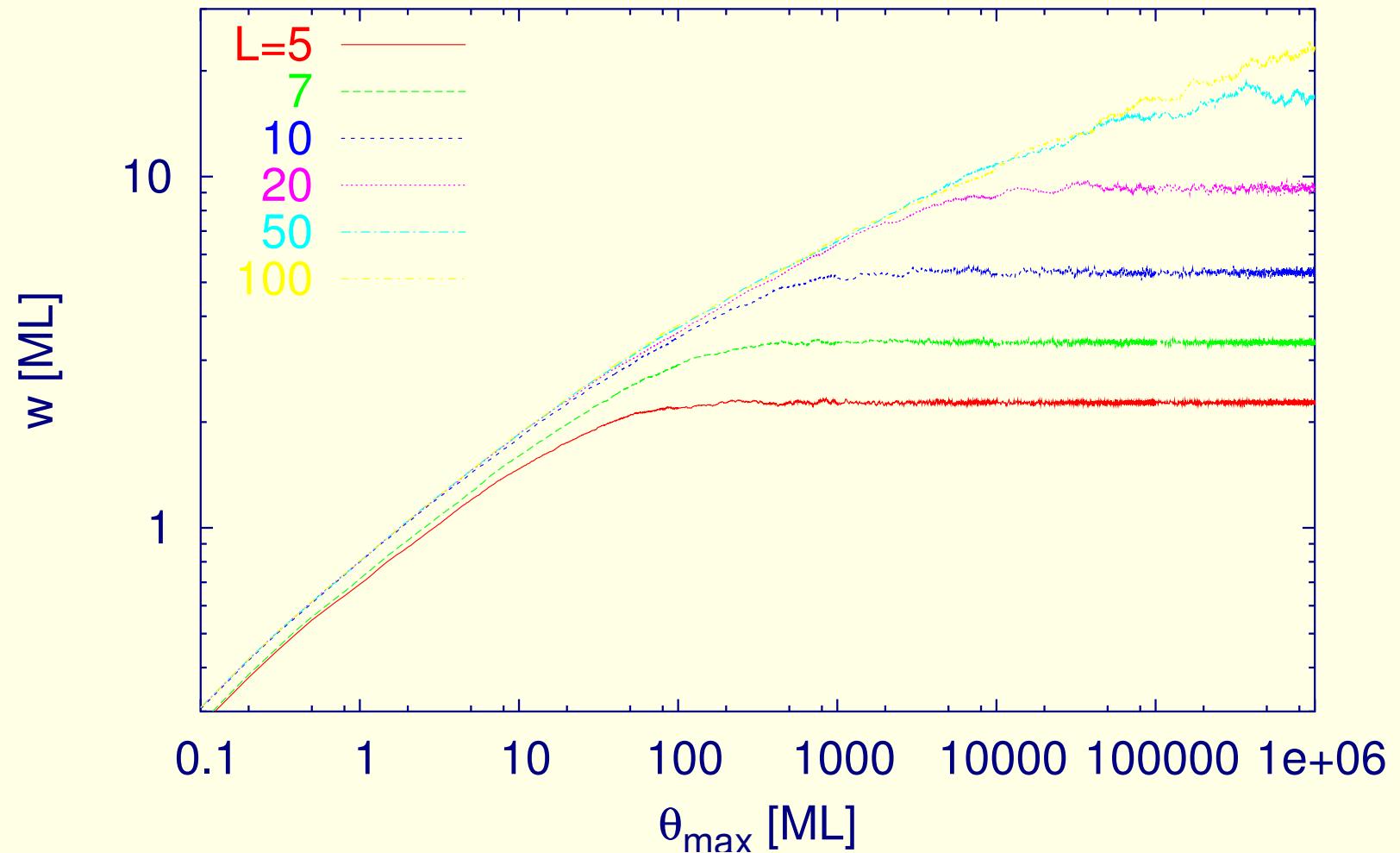


Figure 9:  $z = 1$ ,  $\theta_{\text{dep}} = 0.1$  [ML] and  $\tau = 1$

(b)  $z=2$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\tau=1$

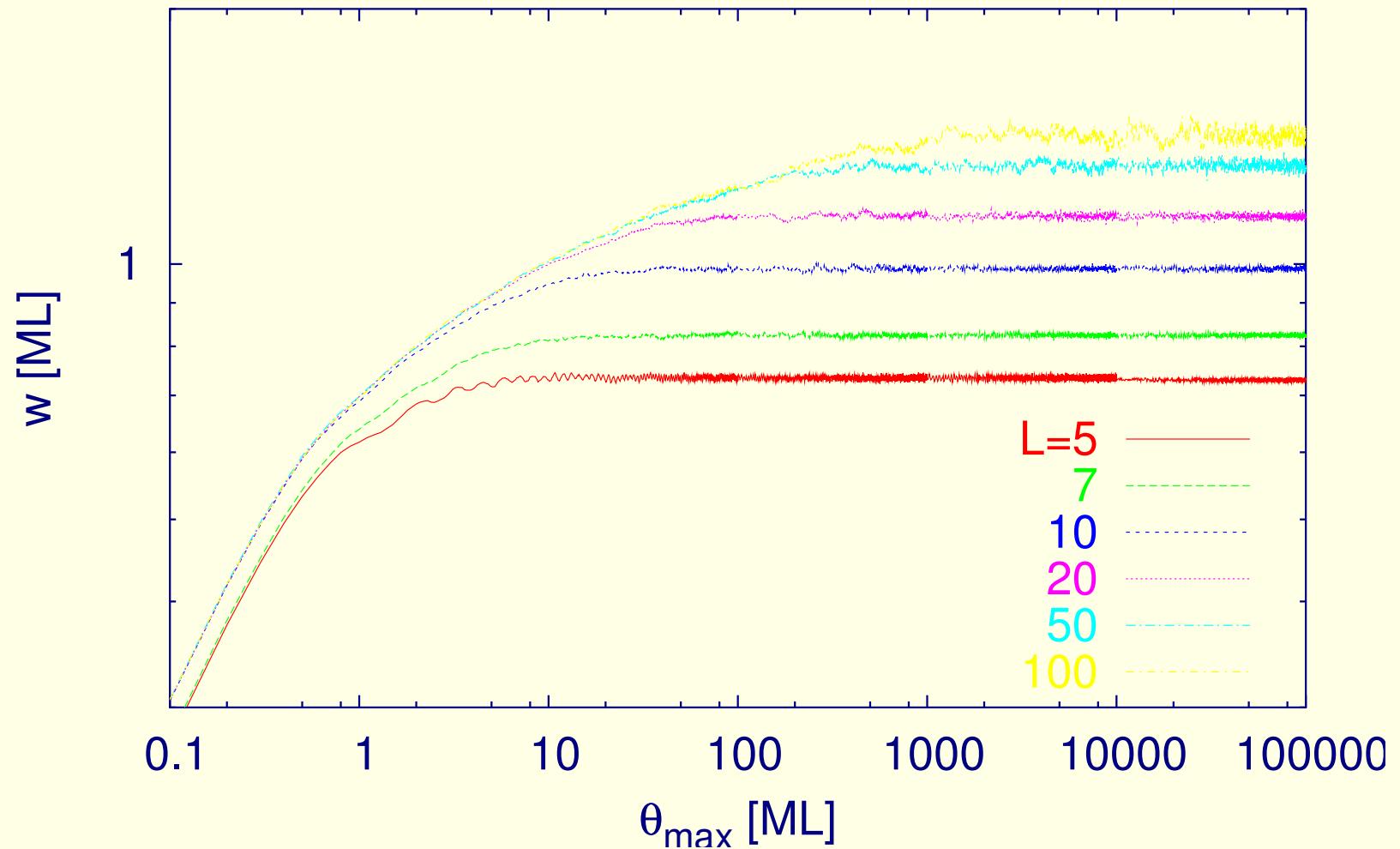


Figure 10:  $z = 2$ ,  $\theta_{\text{dep}} = 0.1$  [ML] and  $\tau = 1$

(c)  $z=3$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\tau=1$

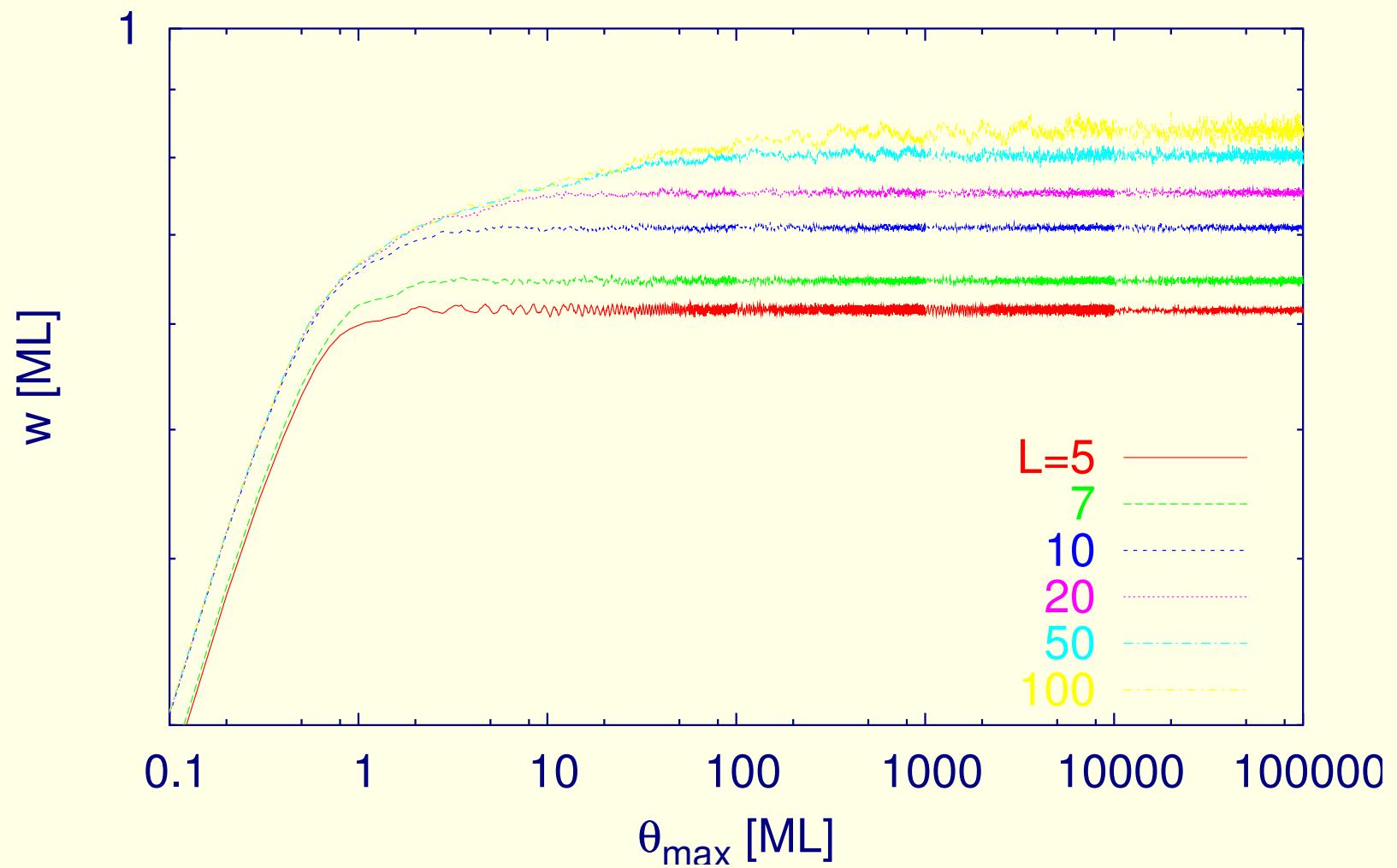


Figure 11:  $z = 3$ ,  $\theta_{\text{dep}} = 0.1$  [ML] and  $\tau = 1$

(d)  $z=4$ ,  $\theta_{\text{dep}}=0.1$  [ML],  $\tau=1$

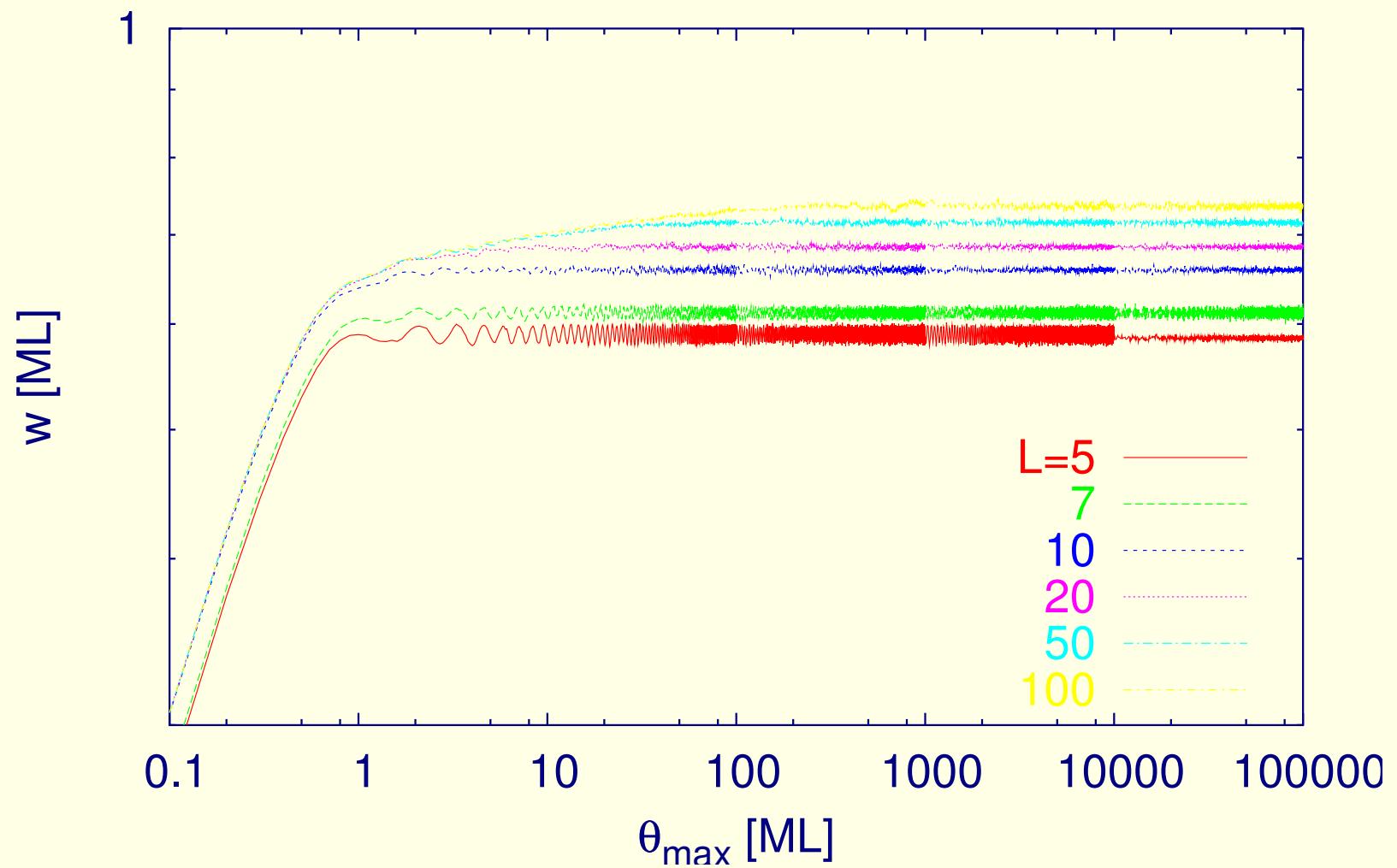


Figure 12:  $z = 4$ ,  $\theta_{\text{dep}} = 0.1$  [ML] and  $\tau = 1$

(e)  $\theta_{\text{dep}}=0.1$  [ML],  $\tau=1$

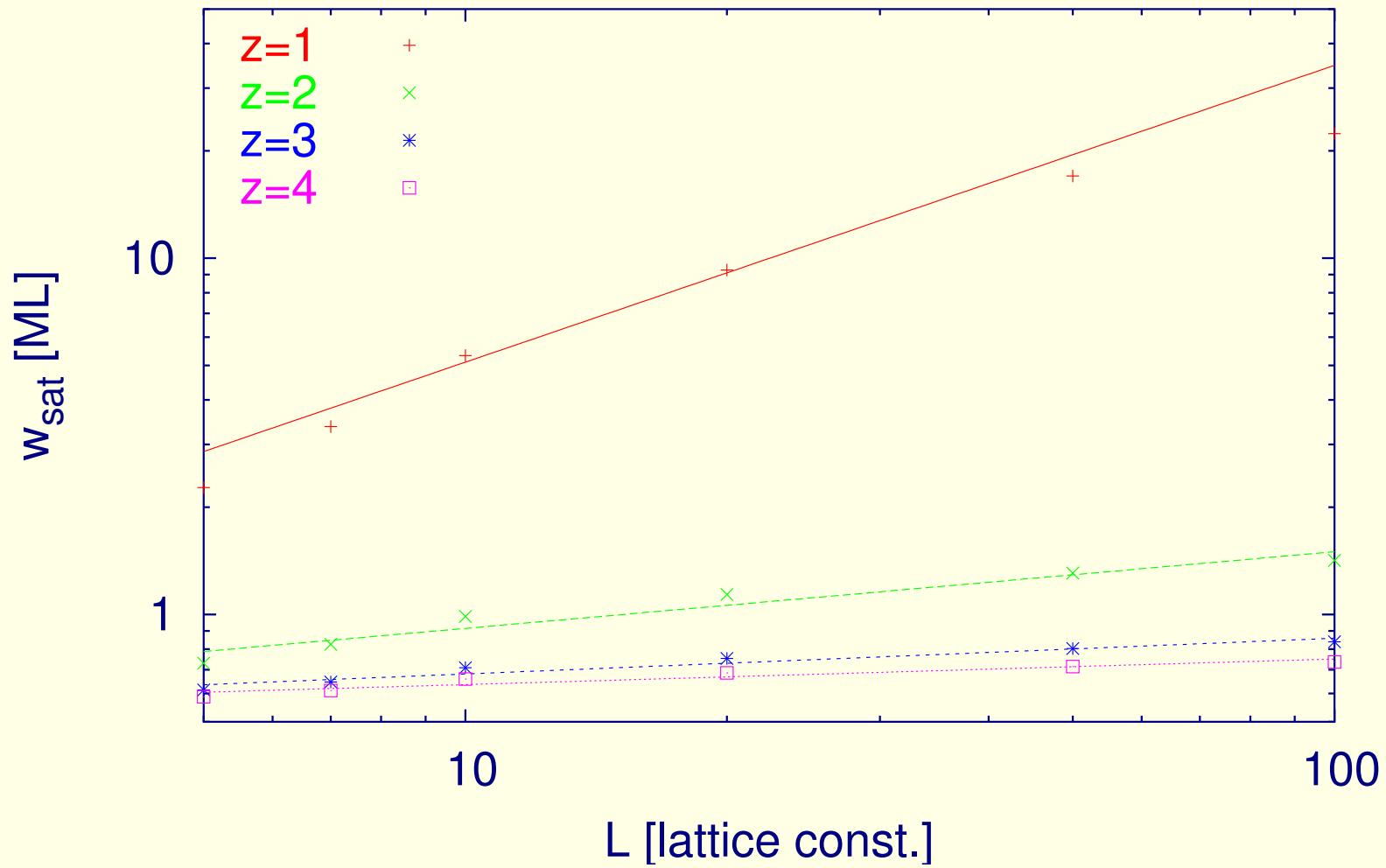


Figure 13:  $\theta_{\text{dep}} = 0.1$  [ML] and  $\tau = 1$

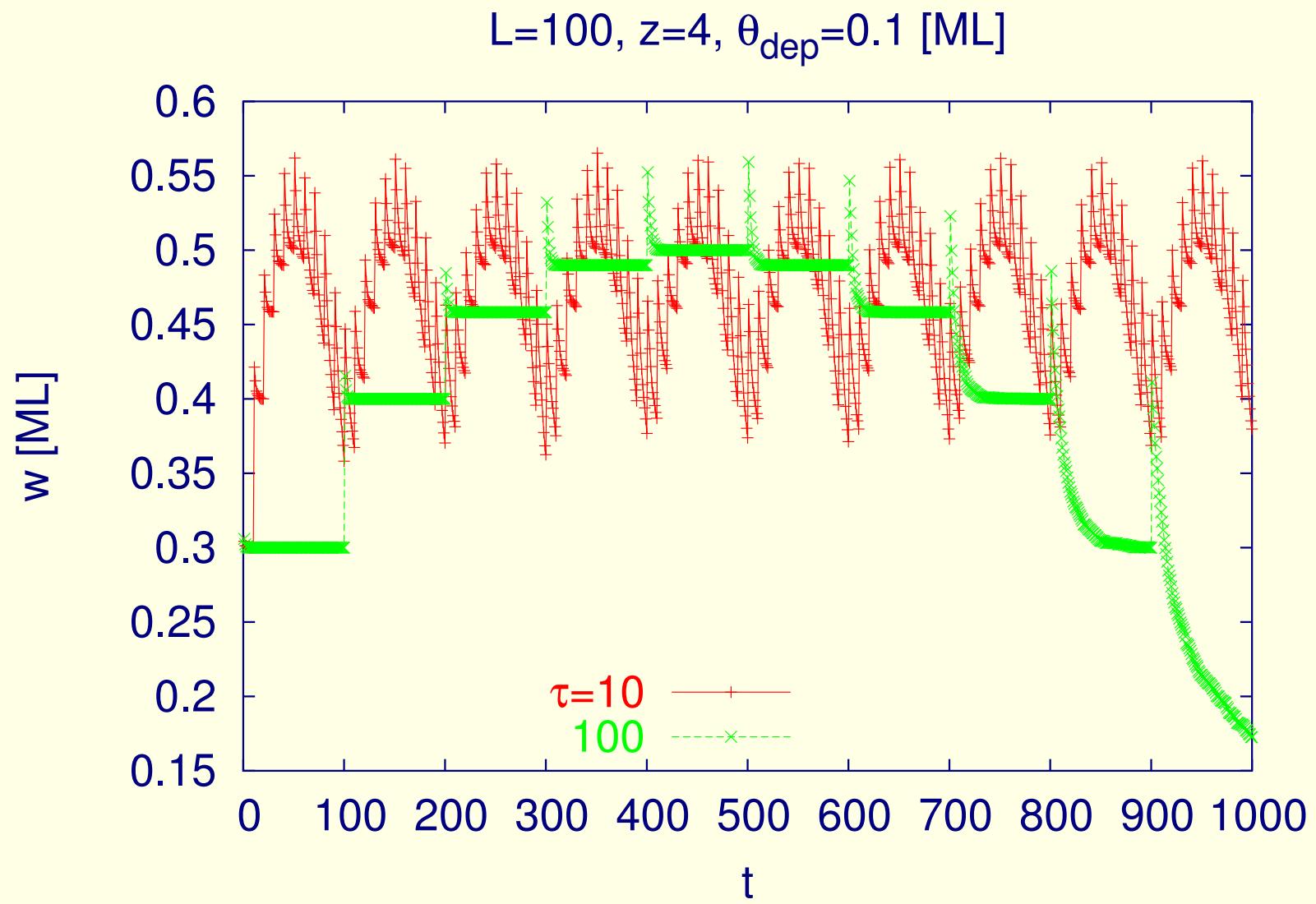


Figure 14:  $L = 100, z = 4, \theta_{\text{dep}} = 0.1 \text{ [ML]}$

(a)  $L=1000$ ,  $z=1$ ,  $\tau=1$ ,  $N_{\text{run}}=1$

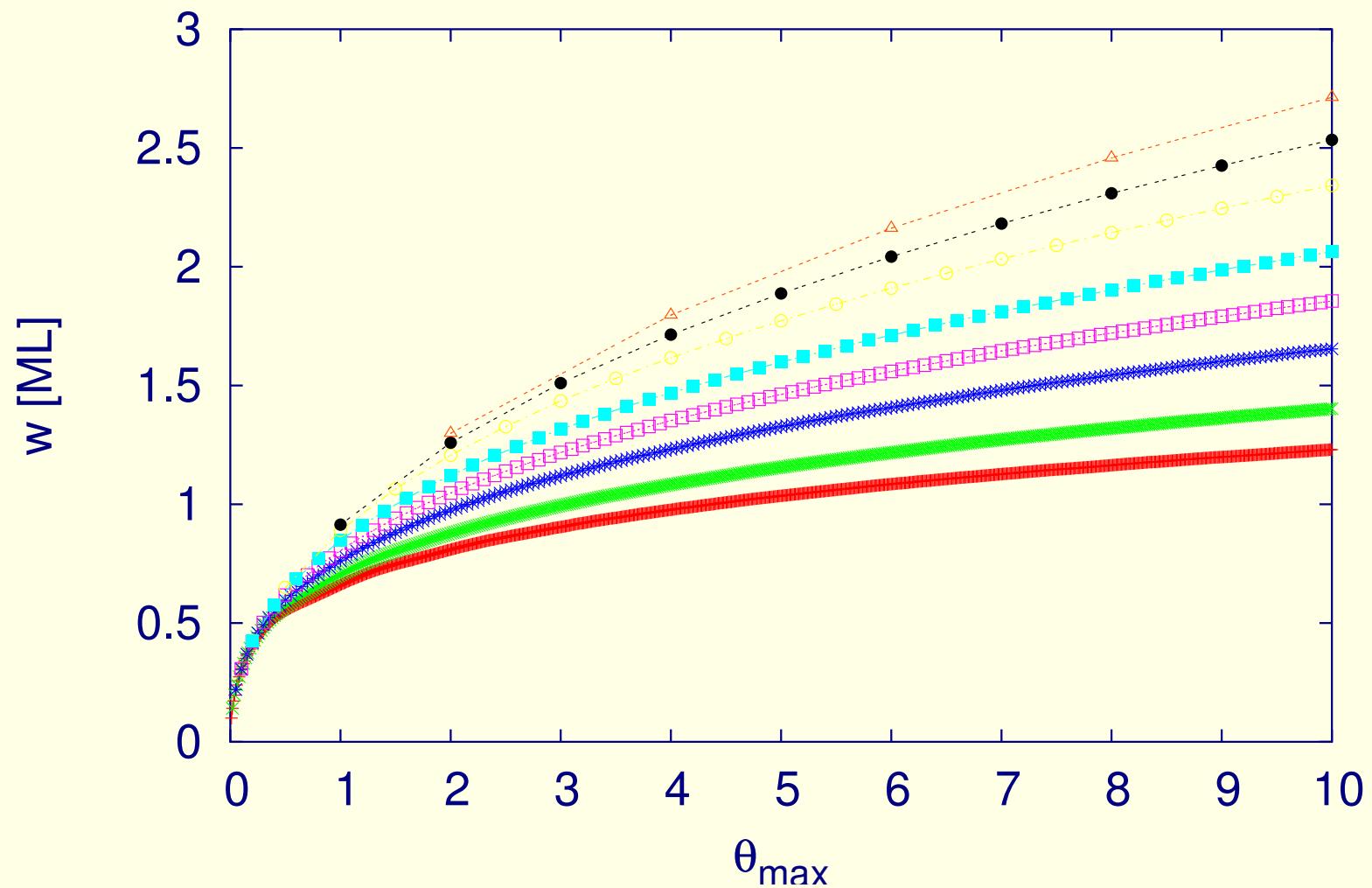


Figure 15:  $L = 1000$ ,  $\tau = 1$ ,  $z = 1$  and  $\theta_{\text{dep}} = 0.01, \dots, 2.0$  from bottom to top

(b)  $L=1000$ ,  $z=4$ ,  $\tau=1$ ,  $N_{\text{run}}=1$

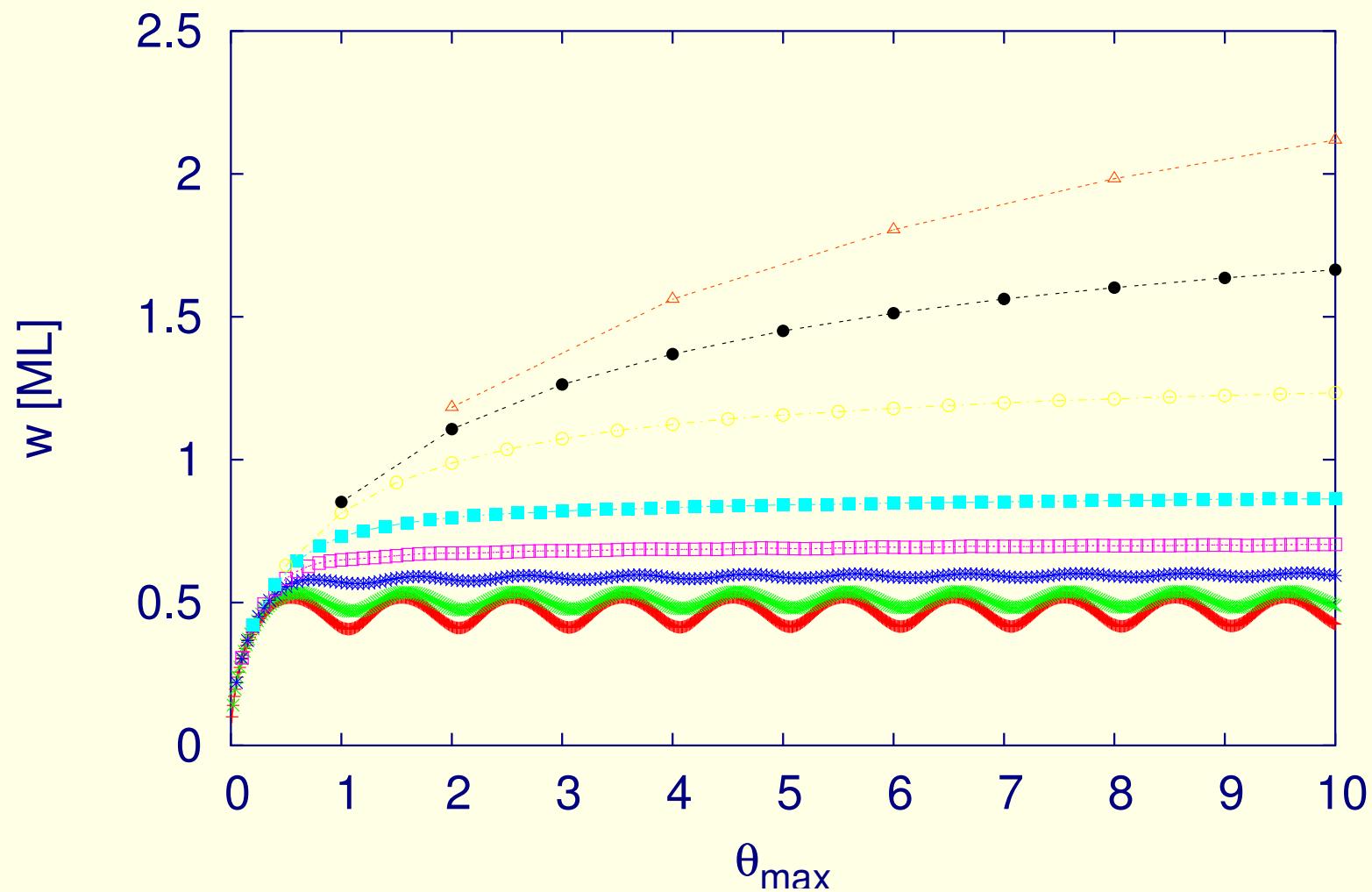


Figure 16:  $L = 1000$ ,  $\tau = 1$ ,  $z = 4$  and  $\theta_{\text{dep}} = 0.01, \dots, 2.0$  from bottom to top

## 6.3 Anisotropic growth [10, 12]

The combination of all the information from  $G(1, 0)$ ,  $G(0, 1)$  and  $G(1, 1)$  is indicative of the type of film morphology, its roughness and anisotropy.

**Table 2:**  $\varepsilon_{1,2,3}$  for different  $z_{x,y}$

1	2	1	3	2	3
2	1	3	1	3	2
0.42	-0.42	0.46	-0.46	0.01	-0.01
3.05	0.44	3.32	0.41	1.11	1.04
2.61	2.61	2.61	2.61	3.56	3.56

## 6.4 Surface selfaffinity

anisotropic case:  $J_x \rightarrow -\infty, V_y \rightarrow \infty$

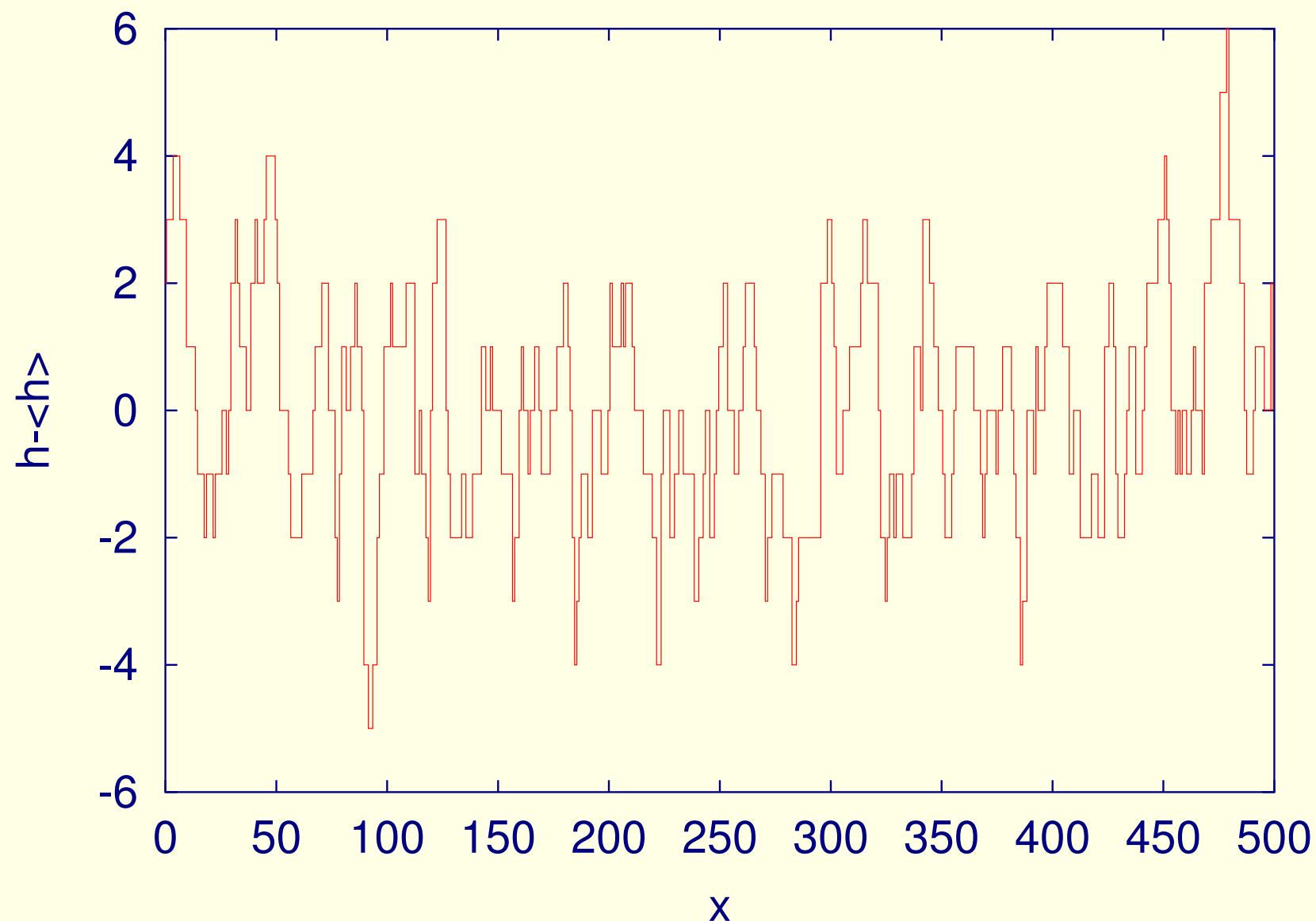


Figure 17:  $\langle h \rangle = 2^4$  [ML]

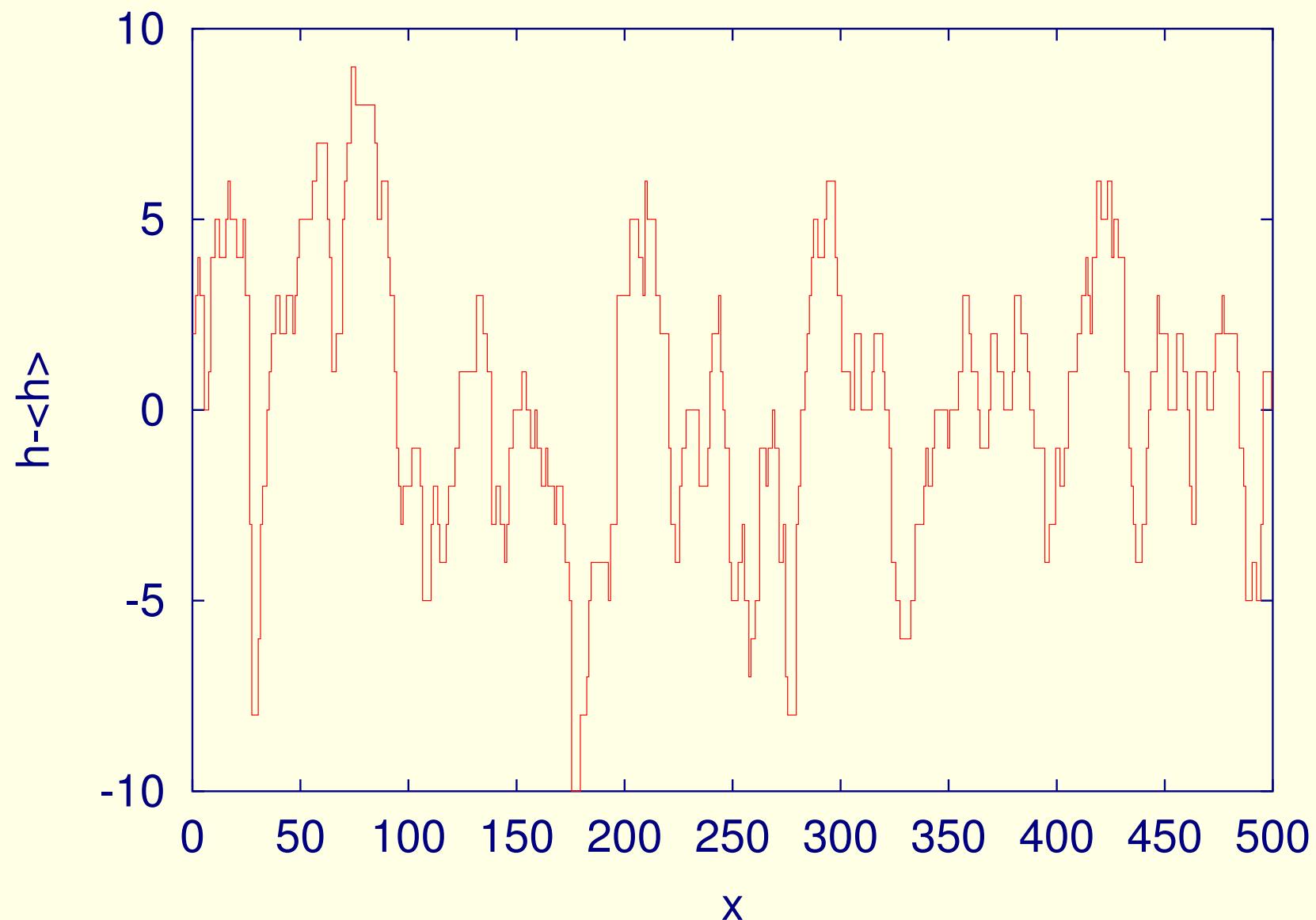


Figure 18:  $\langle h \rangle = 2^8$  [ML]

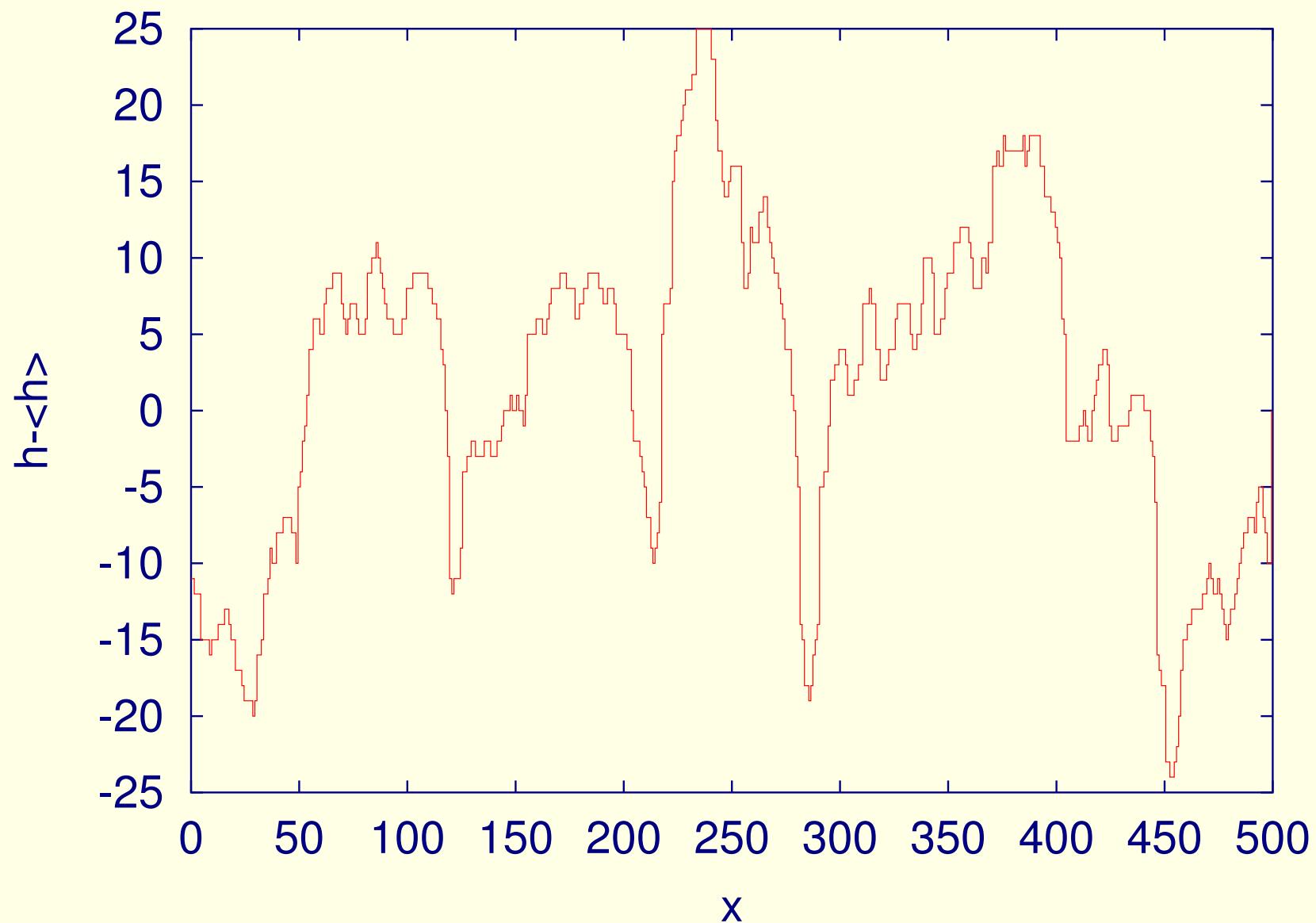


Figure 19:  $\langle h \rangle = 2^{12}$  [ML]

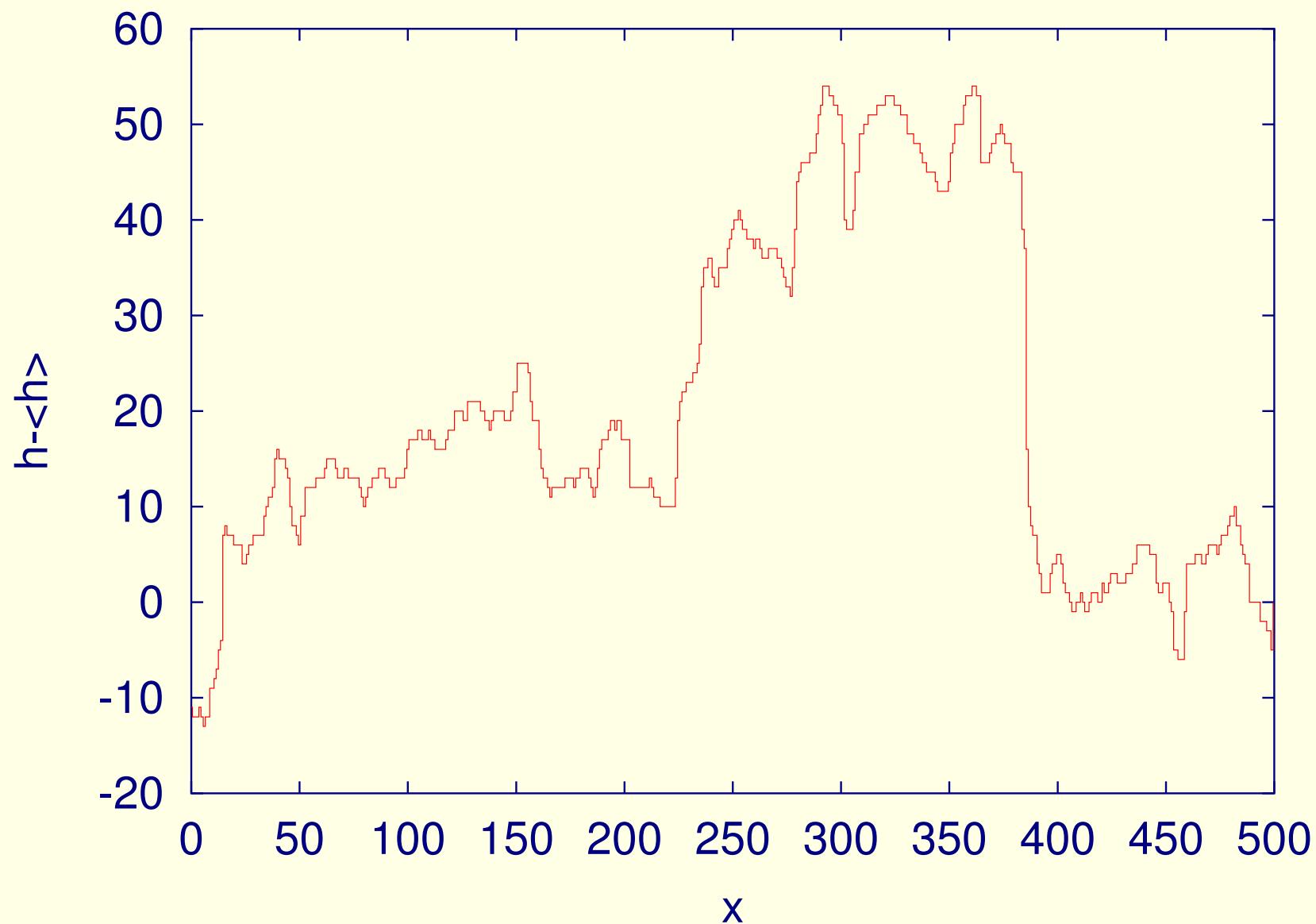


Figure 20:  $\langle h \rangle = 2^{16}$  [ML]

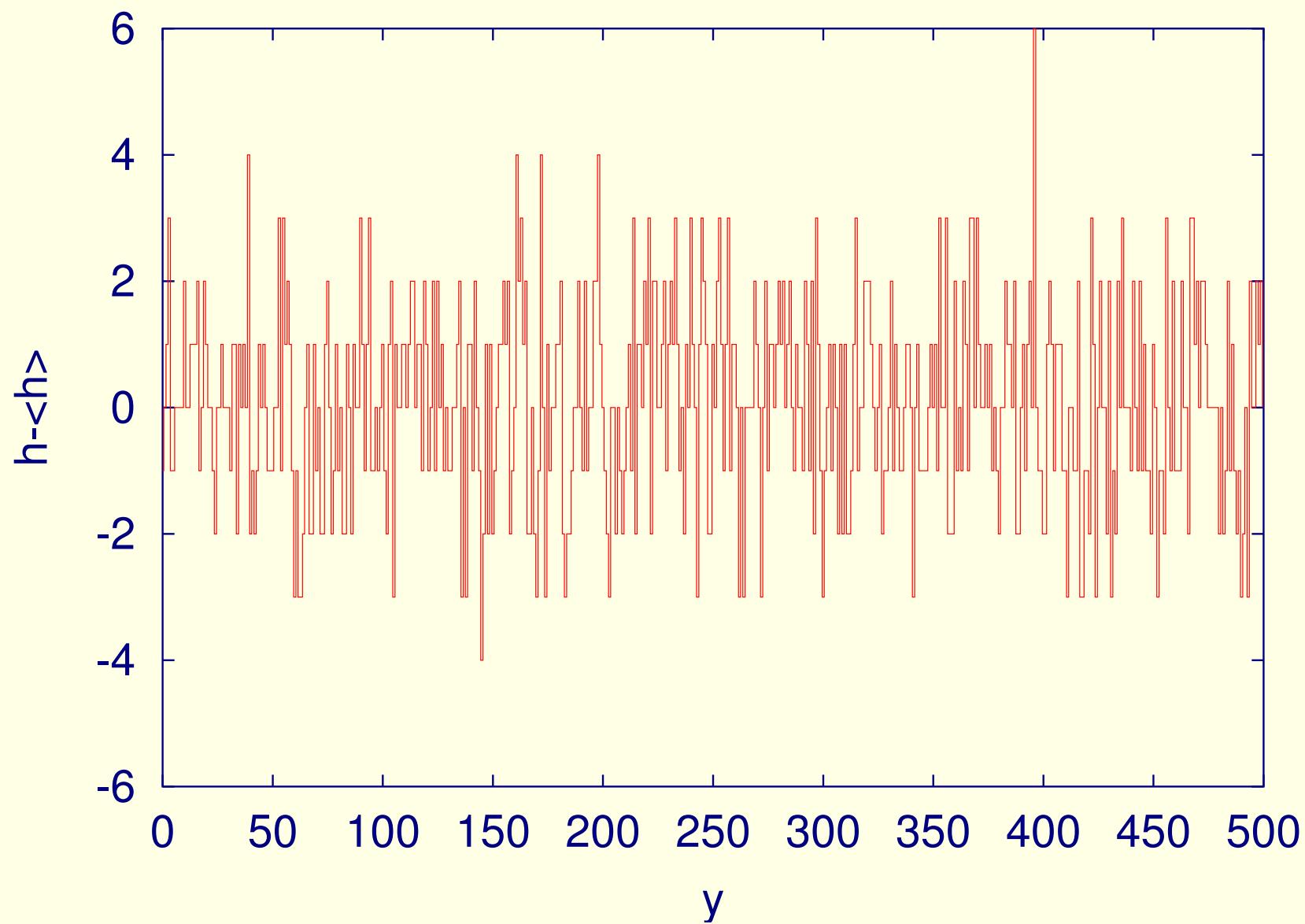


Figure 21:  $\langle h \rangle = 2^4$  [ML]

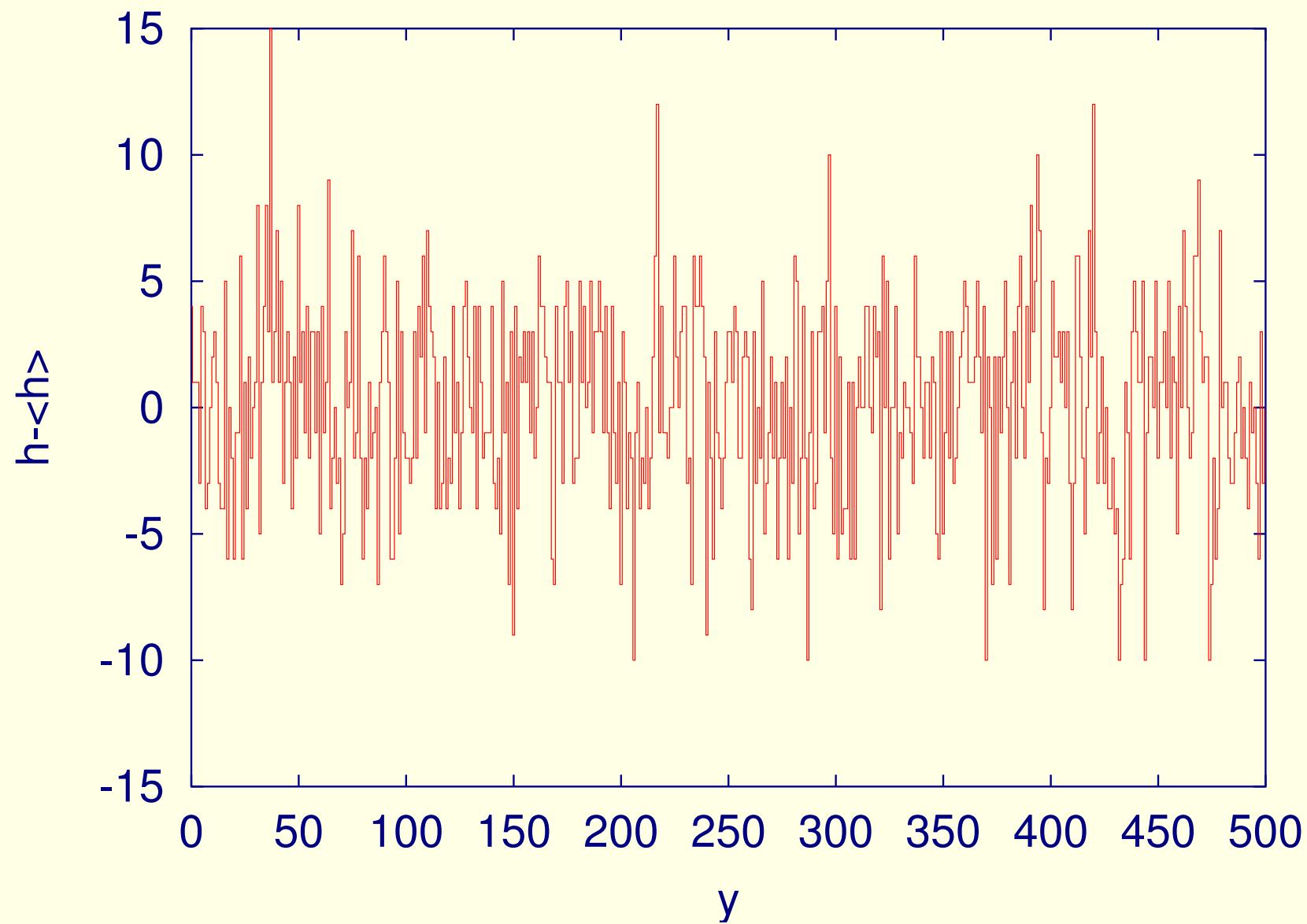


Figure 22:  $\langle h \rangle = 2^8$  [ML]

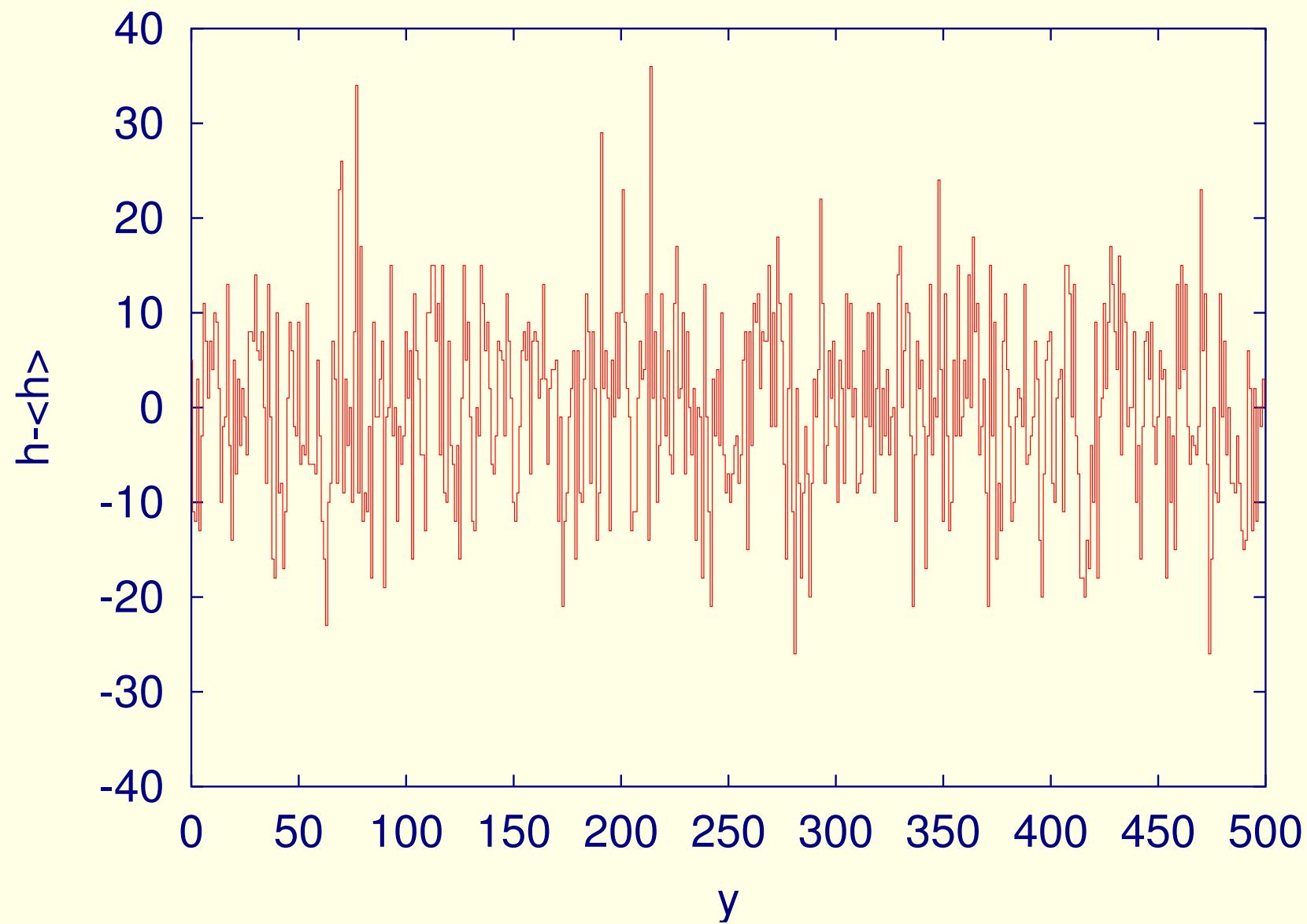


Figure 23:  $\langle h \rangle = 2^{12}$  [ML]

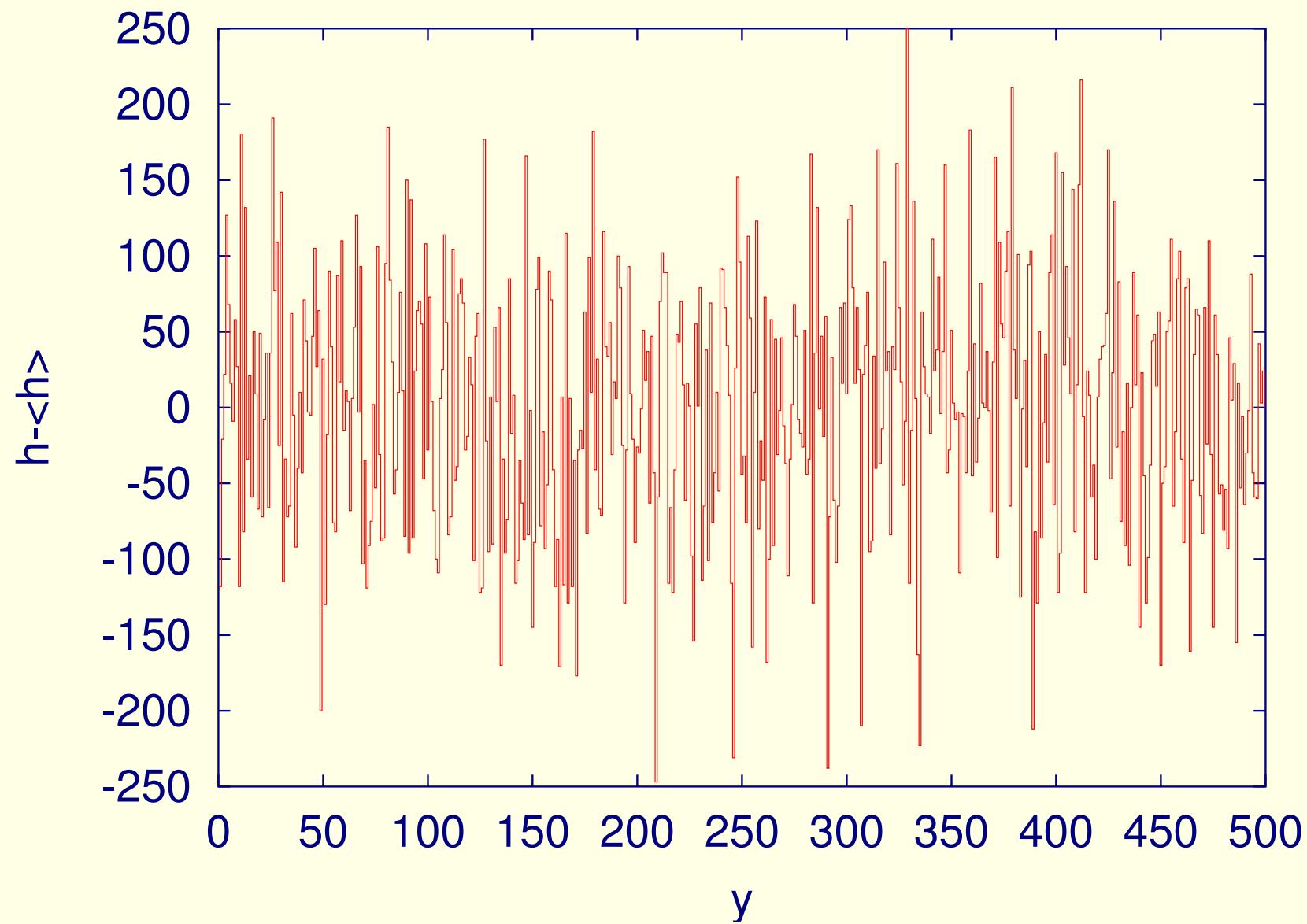


Figure 24:  $\langle h \rangle = 2^{16}$  [ML]

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